

# Controlling Person Self-Certification Form

for FATCA and CRS



**Bank of  
Ireland**

Classification: Confidential

The Governor and Company of the Bank of Ireland ("the Bank") is obliged under Section 891E and Section 891F of the Taxes Consolidation Act 1997 (as amended) and Tax Regulations made pursuant to those sections to collect certain information in respect of the Foreign Account Tax Compliance Act ('FATCA') and the Common Reporting Standard ('CRS').

Please complete, where applicable, the relevant sections below and provide any additional information as may be required.

In certain circumstances, we may be obliged to share this information with relevant tax authorities, who may then share it with tax authorities in other countries or territories. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about how to complete this form or any other concerns about the impact of automatic exchange of information, you should contact your tax advisor or local tax authority.

The Bank does not provide tax advice and will not be liable for any errors contained in this form.

## SECTION 1: CONTROLLING PERSONS

If your Entity/Organisation has certified in the FATCA and CRS Self-Certification Form for Business Customers / Non-Personal Customers as being;

1. A Passive NFFE/NFE, or
2. An Investment Entity in a Non-Participating Jurisdiction

The Bank is required to establish the role(s) of any Controlling Person detailed in the mandate (i.e. a Director or Beneficial Owner whose percentage of ownership is 25% or greater, or who otherwise exercises control over the Entity) and whether such a person is a U.S. citizen or resident in any country/territory other than the Republic of Ireland for tax purposes.

If the Controlling Person is tax resident in more than three countries/territories please use a separate sheet.

# CONTROLLING PERSON 1

CONTROLLING PERSON'S DETAILS			
1	Name		
2	Residence address including postcode & country		
3	Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
4	Telephone number (including international country code)		
5	Is the Controlling Person a U.S. citizen?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
	If Yes, please provide U.S. Tax Identification Number (TIN) U.S TINs must be 9 digits.		
6	Is the Controlling Person resident in any other country or territory other than the Republic of Ireland for tax purposes?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
	If "Yes", list below all countries/territories in which the Controlling Person is tax resident, and provide a Tax Identification Number (TIN) for each country/ territory. A TIN, or else a valid explanation as to why no TIN is available, is mandatory for each country/territory listed. Note: if United States is the country of tax residency, a U.S. TIN must be provided. U.S. TINs must be 9 digits.		
	Country/Territory	TIN (or Equivalent)	Reason why no TIN provided (indicate A, B or C from the explanations outlined below)

If you have not been able to provide a TIN, please indicate why using one of the following explanations:

A. The country/ territory of tax residency does not issue TINs or functional equivalents to its residents;
B. The country/territory of tax residency has not issued a TIN to you (if selecting this option B, please also provide an explanation in the box above
C. The domestic law of the country/ territory of tax residency does not require the collection of a TIN.

Please select a Controlling Person Type from the list to indicate the role(s) by virtue of which you are a Controlling Person in the Entity/Organisation.

	(PLEASE <input checked="" type="checkbox"/> TICK ALL THAT APPLY)
Controlling Person of Legal Person	Control by ownership <input type="checkbox"/> Control by other means <input type="checkbox"/> Senior managing official <input type="checkbox"/>
Controlling Person of Legal Arrangement – Trust	Settlor <input type="checkbox"/> Trustee <input type="checkbox"/> Beneficiary <input type="checkbox"/> Protector <input type="checkbox"/> Other <input type="checkbox"/>
Controlling Person of Legal Arrangement – Other	Settlor – equivalent <input type="checkbox"/> Trustee – equivalent <input type="checkbox"/> Beneficiary – equivalent <input type="checkbox"/> Protector – equivalent <input type="checkbox"/> Other – equivalent <input type="checkbox"/>

## CONTROLLING PERSON 2

CONTROLLING PERSON'S DETAILS			
1	Name		
2	Residence address including postcode & country		
3	Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
4	Telephone number (including international country code)		
5	Is the Controlling Person a U.S. citizen?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
	If Yes, please provide U.S. Tax Identification Number (TIN) U.S. TINs must be 9 digits.		
6	Is the Controlling Person resident in any other country or territory other than the Republic of Ireland for tax purposes?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If "Yes", list below all countries/territories in which the Controlling Person is tax resident, and provide a Tax Identification Number (TIN) for each country/ territory. A TIN, or else a valid explanation as to why no TIN is available, is mandatory for each country/territory listed. Note: if United States is the country of tax residency, a U.S. TIN must be provided. U.S. TINs must be 9 digits.			
	Country/Territory	TIN (or Equivalent)	Reason why no TIN provided (indicate A, B or C from the explanations outlined below)

If you have not been able to provide a TIN, please indicate why using one of the following explanations:

A. The country/ territory of tax residency does not issue TINs or functional equivalents to its residents;
B. The country/territory of tax residency has not issued a TIN to you (if selecting this option B, please also provide an explanation in the box above
C. The domestic law of the country/ territory of tax residency does not require the collection of a TIN.

Please select a Controlling Person Type from the list to indicate the role(s) by virtue of which you are a Controlling Person in the Entity/Organisation.

	(PLEASE <input checked="" type="checkbox"/> TICK ALL THAT APPLY)
Controlling Person of Legal Person	Control by ownership <input type="checkbox"/> Control by other means <input type="checkbox"/> Senior managing official <input type="checkbox"/>
Controlling Person of Legal Arrangement – Trust	Settlor <input type="checkbox"/> Trustee <input type="checkbox"/> Beneficiary <input type="checkbox"/> Protector <input type="checkbox"/> Other <input type="checkbox"/>
Controlling Person of Legal Arrangement – Other	Settlor – equivalent <input type="checkbox"/> Trustee – equivalent <input type="checkbox"/> Beneficiary – equivalent <input type="checkbox"/> Protector – equivalent <input type="checkbox"/> Other – equivalent <input type="checkbox"/>

## CONTROLLING PERSON 3

CONTROLLING PERSON'S DETAILS			
1	Name		
2	Residence address including postcode & country		
3	Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
4	Telephone number (including international country code)		
5	Is the Controlling Person a U.S. citizen?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
	If Yes, please provide U.S. Tax Identification Number (TIN) U.S. TINs must be 9 digits.		
6	Is the Controlling Person resident in any other country or territory other than the Republic of Ireland for tax purposes?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If "Yes", list below all countries/territories in which the Controlling Person is tax resident, and provide a Tax Identification Number (TIN) for each country/ territory. A TIN, or else a valid explanation as to why no TIN is available, is mandatory for each country/territory listed. Note: if United States is the country of tax residency, a U.S. TIN must be provided. U.S. TINs must be 9 digits.			
	Country/Territory	TIN (or Equivalent)	Reason why no TIN provided (indicate A, B or C from the explanations outlined below)

If you have not been able to provide a TIN, please indicate why using one of the following explanations:

A. The country/ territory of tax residency does not issue TINs or functional equivalents to its residents;
B. The country/territory of tax residency has not issued a TIN to you (if selecting this option B, please also provide an explanation in the box above)
C. The domestic law of the country/ territory of tax residency does not require the collection of a TIN.

Please select a Controlling Person Type from the list to indicate the role(s) by virtue of which you are a Controlling Person in the Entity/Organisation.

	(PLEASE <input checked="" type="checkbox"/> TICK ALL THAT APPLY)
Controlling Person of Legal Person	Control by ownership <input type="checkbox"/> Control by other means <input type="checkbox"/> Senior managing official <input type="checkbox"/>
Controlling Person of Legal Arrangement – Trust	Settlor <input type="checkbox"/> Trustee <input type="checkbox"/> Beneficiary <input type="checkbox"/> Protector <input type="checkbox"/> Other <input type="checkbox"/>
Controlling Person of Legal Arrangement – Other	Settlor – equivalent <input type="checkbox"/> Trustee – equivalent <input type="checkbox"/> Beneficiary – equivalent <input type="checkbox"/> Protector – equivalent <input type="checkbox"/> Other – equivalent <input type="checkbox"/>

## CONTROLLING PERSON 4

CONTROLLING PERSON'S DETAILS			
1	Name		
2	Residence address including postcode & country		
3	Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
4	Telephone number (including international country code)		
5	Is the Controlling Person a U.S. citizen?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
	If Yes, please provide U.S. Tax Identification Number (TIN) U.S. TINs must be 9 digits.		
6	Is the Controlling Person resident in any other country or territory other than the Republic of Ireland for tax purposes?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If "Yes", list below all countries/territories in which the Controlling Person is tax resident, and provide a Tax Identification Number (TIN) for each country/ territory. A TIN, or else a valid explanation as to why no TIN is available, is mandatory for each country/territory listed. Note: if United States is the country of tax residency, a U.S. TIN must be provided. U.S. TINs must be 9 digits.			
	Country/Territory	TIN (or Equivalent)	Reason why no TIN provided (indicate A, B or C from the explanations outlined below)

If you have not been able to provide a TIN, please indicate why using one of the following explanations:

A. The country/ territory of tax residency does not issue TINs or functional equivalents to its residents;
B. The country/territory of tax residency has not issued a TIN to you (if selecting this option B, please also provide an explanation in the box above
C. The domestic law of the country/ territory of tax residency does not require the collection of a TIN.

Please select a Controlling Person Type from the list to indicate the role(s) by virtue of which you are a Controlling Person in the Entity/Organisation.

	(PLEASE <input checked="" type="checkbox"/> TICK ALL THAT APPLY)
Controlling Person of Legal Person	Control by ownership <input type="checkbox"/> Control by other means <input type="checkbox"/> Senior managing official <input type="checkbox"/>
Controlling Person of Legal Arrangement – Trust	Settlor <input type="checkbox"/> Trustee <input type="checkbox"/> Beneficiary <input type="checkbox"/> Protector <input type="checkbox"/> Other <input type="checkbox"/>
Controlling Person of Legal Arrangement – Other	Settlor – equivalent <input type="checkbox"/> Trustee – equivalent <input type="checkbox"/> Beneficiary – equivalent <input type="checkbox"/> Protector – equivalent <input type="checkbox"/> Other – equivalent <input type="checkbox"/>

## SECTION 2: DECLARATION AND SIGNATURE (MANDATORY)

As a representative authorised to sign on behalf of the entity, I declare that I have examined the information on this form and that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

As a representative authorised to sign on behalf of the entity, I agree to submit a new form within 30 days if, due to a change of circumstances, any certification or information on this form becomes inaccurate.

As a representative authorised to sign on behalf of the entity, I declare that I have obtained permission from the Controlling Persons mentioned in Section 1, if applicable, to disclose the information related to them for the purpose of it being reported to the tax authorities.

Authorised Signatory	
Capacity/Role	
Name of Entity/Organisation of Controlling Persons	
Date	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>